

# Leveraging Test Generation and Specification Mining for Automated Bug Detection without False Positives

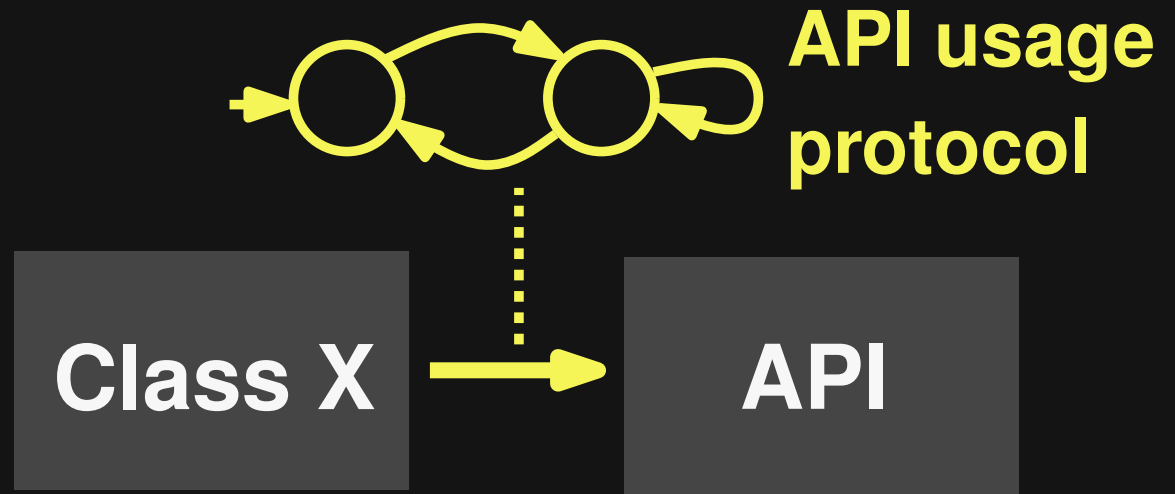
**Michael Pradel and Thomas R. Gross**

**Department of Computer Science**

**ETH Zurich**

# Motivation

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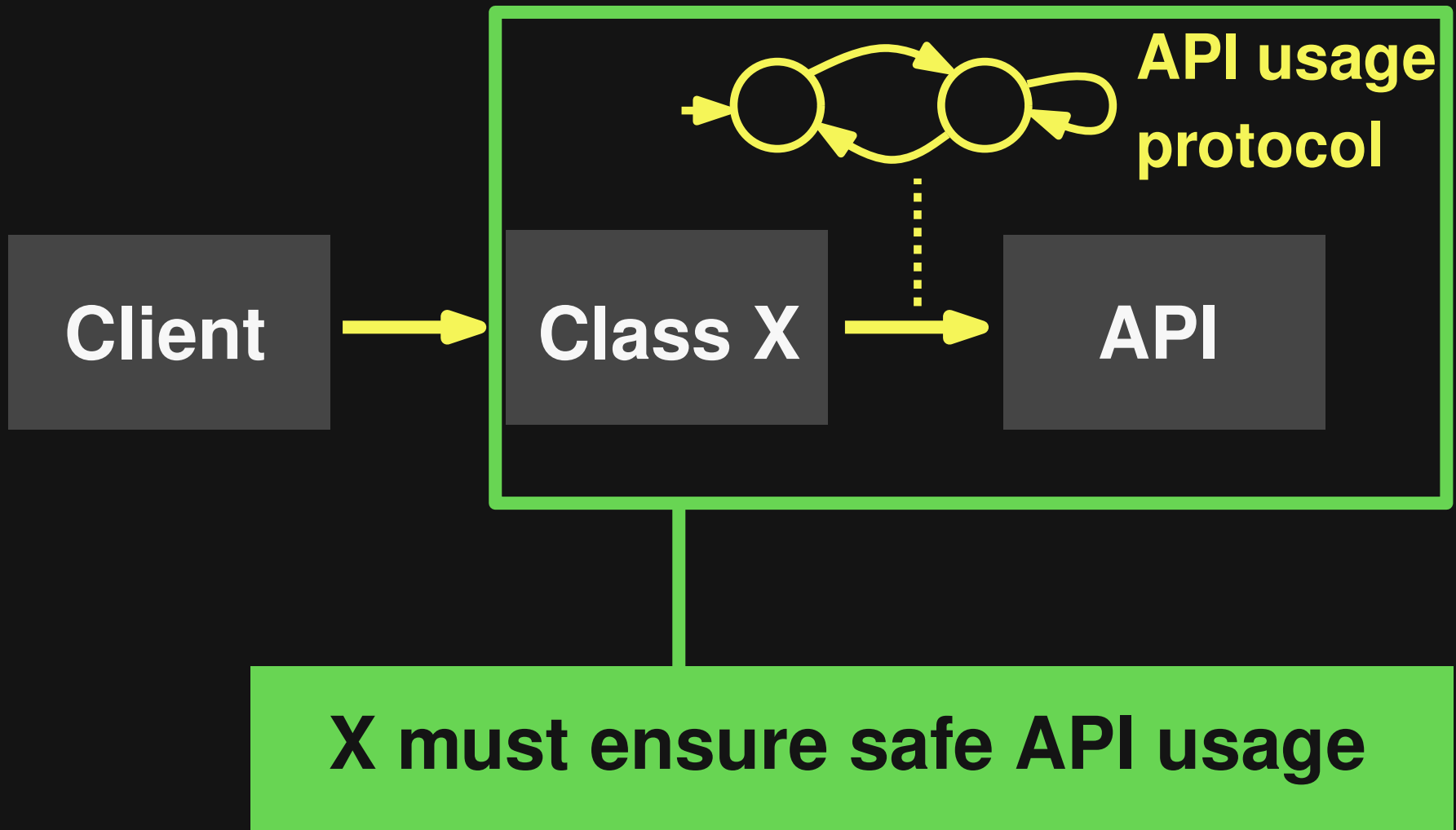
# Motivation

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# Motivation

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# Example from Apache Xalan

---

```
class X {  
    private Stack s = new Stack();  
    public String report() {  
        return get().toString();  
    }  
    private Object get() {  
        s.peek();  
    }  
}
```

# Example from Apache Xalan

---

Stack has a  
protocol ...

```
class X {  
.....▶ private Stack s = new Stack();  
        public String report() {  
            return get().toString();  
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        private Object get() {  
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        }  
}
```

# Example from Apache Xalan

---

Stack has a  
protocol ...

```
class X {  
    .....▶ private Stack s = new Stack();  
    public String report() {  
        return get().toString();  
    }  
}
```

... but X fails  
to ensure it:

```
private Object get() {  
    .....▶ s.peek();  
}
```

.....▼  
X x = new X();

x.report();

**EmptyStackException**

# Unsafe API Usage

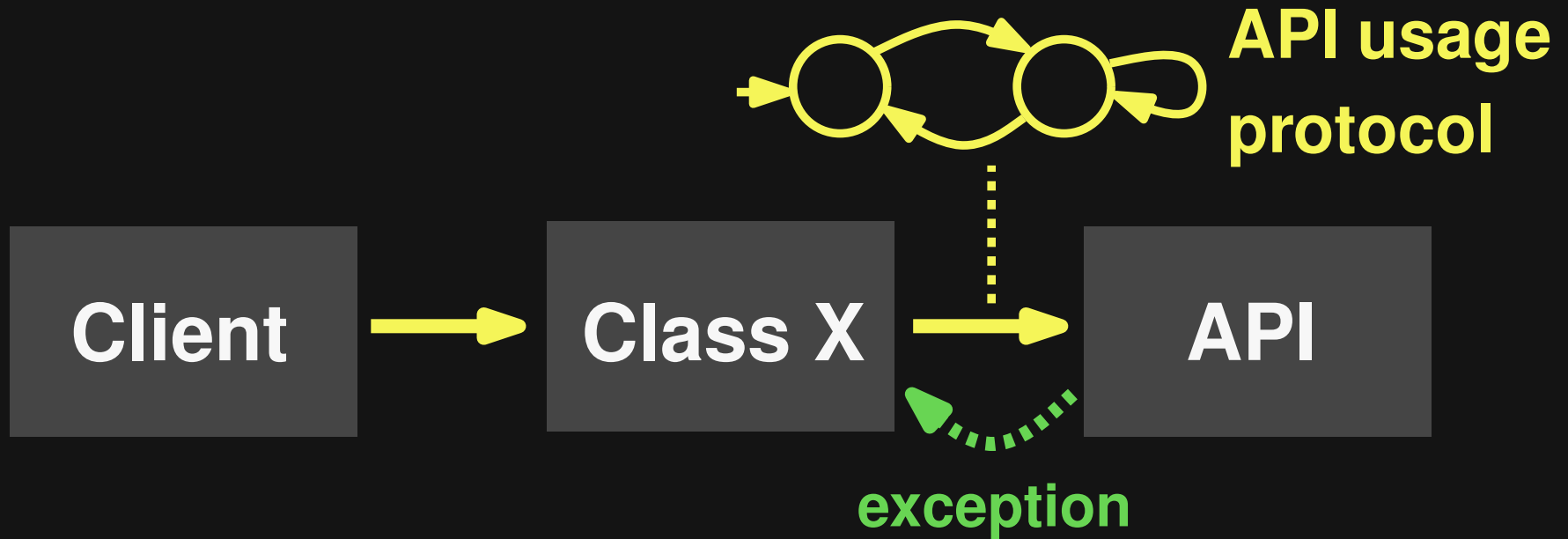
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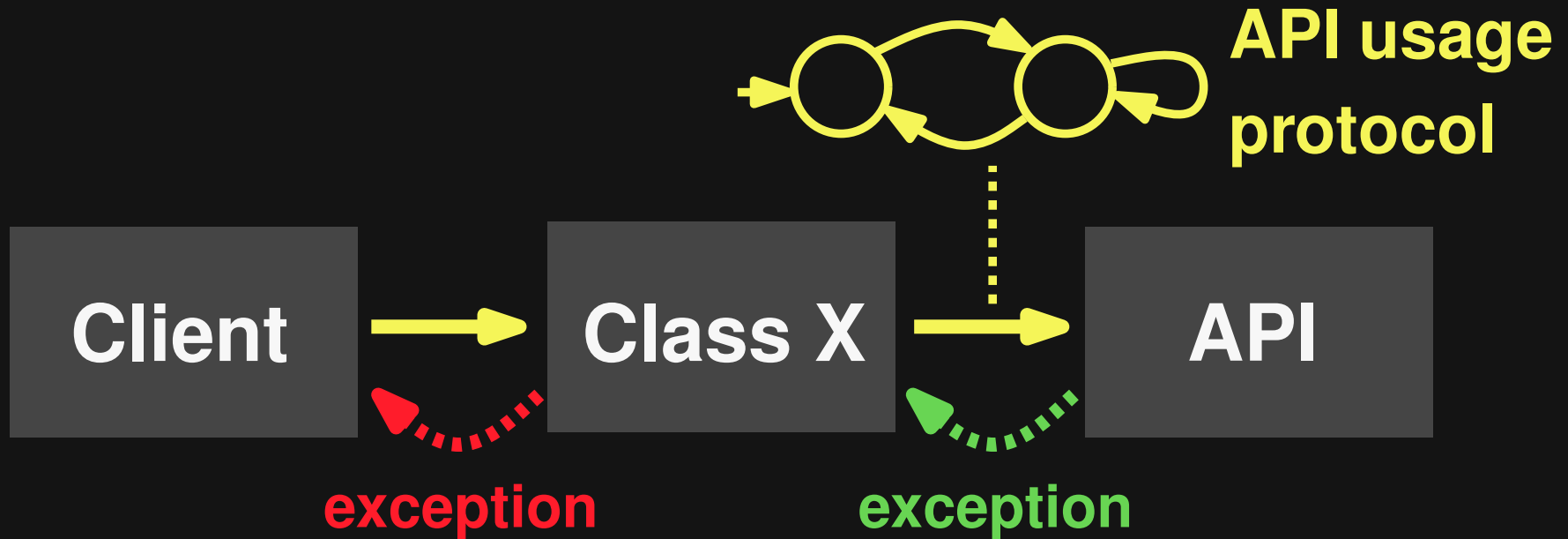
# Unsafe API Usage

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# Unsafe API Usage

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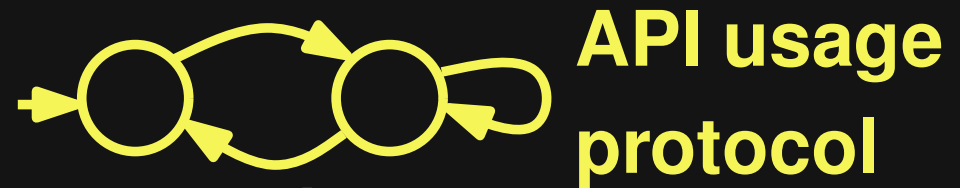


**Unsafe API usage:**

**X exposes client to unexpected protocol exception**

# Unsafe API Usage

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**Unsafe API usage:**

**X exposes client to unexpected protocol exception**

# Goal

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# Goal

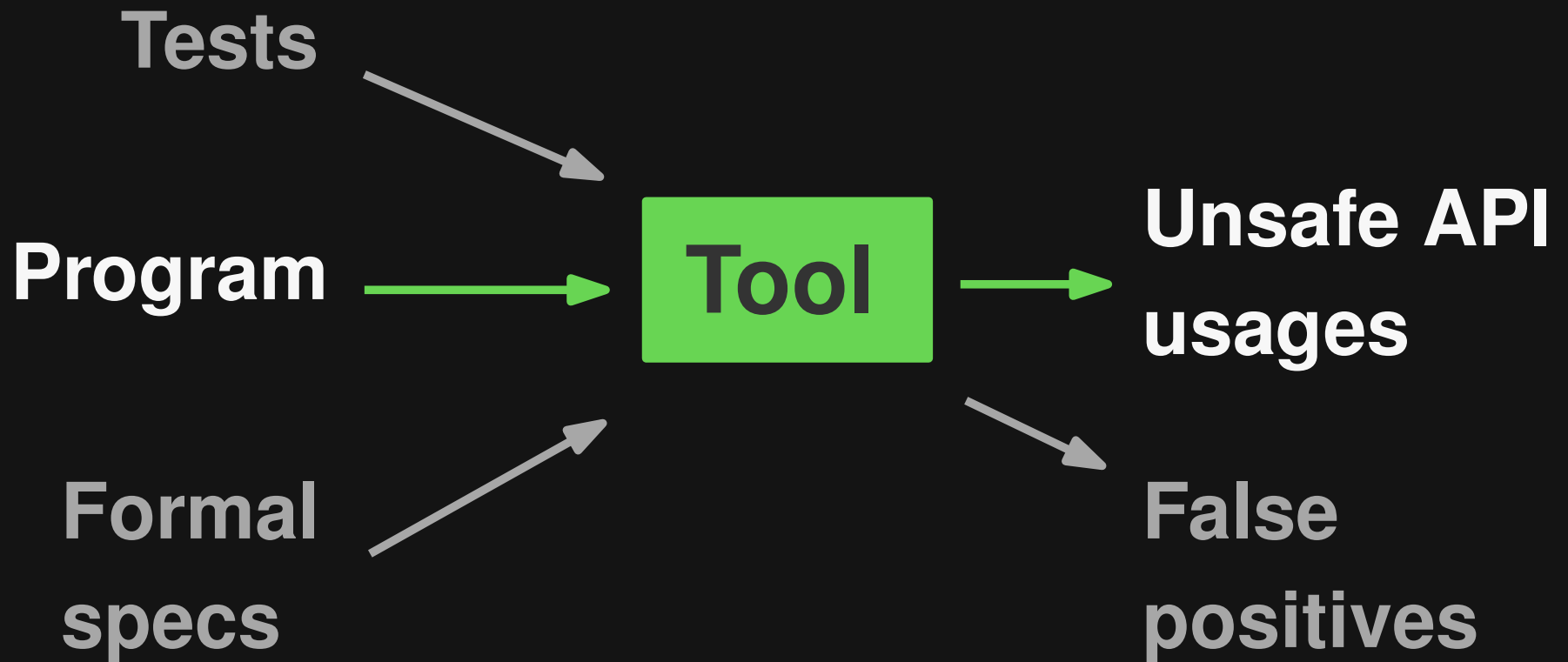
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**Automatic and precise bug detection**

# Goal

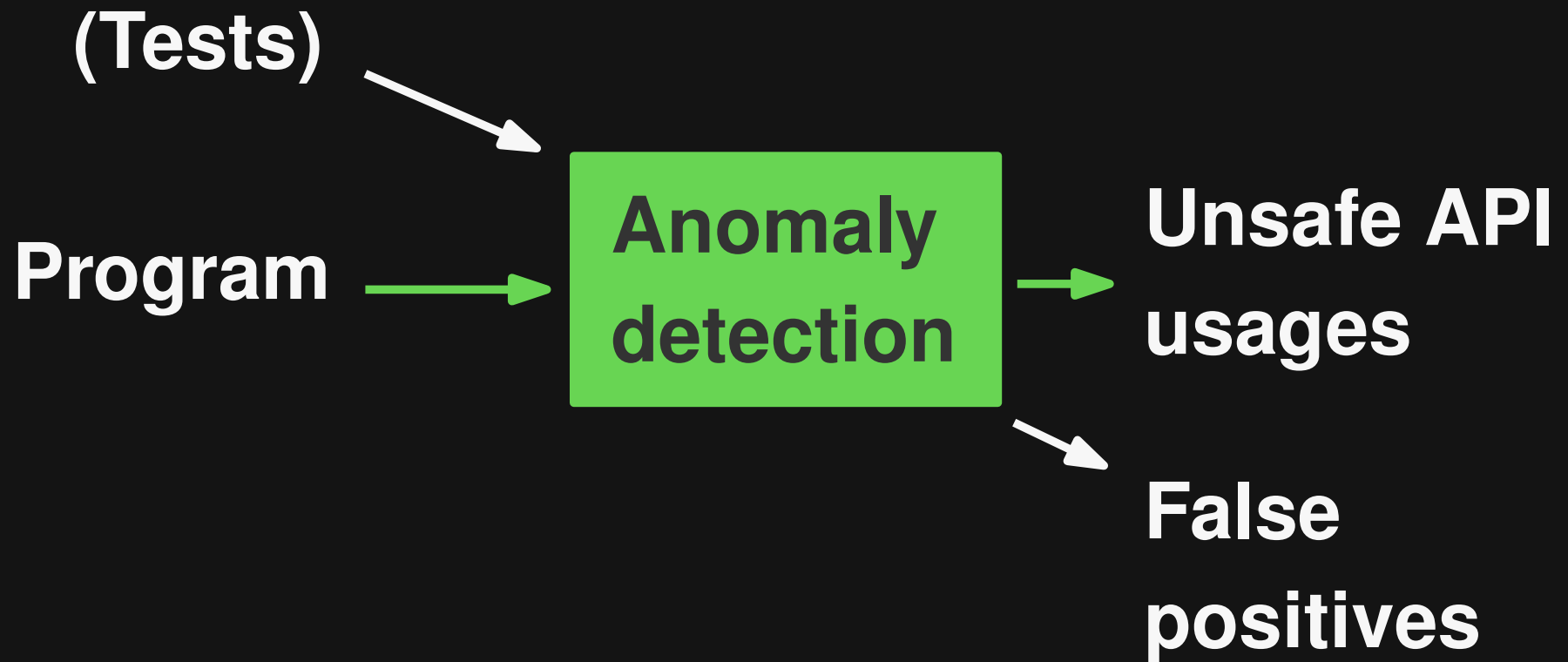
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~~Automatic and precise bug detection~~

# State of the Art (1)

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Nguyen et al. '09

Wasylkowski + Zeller '09

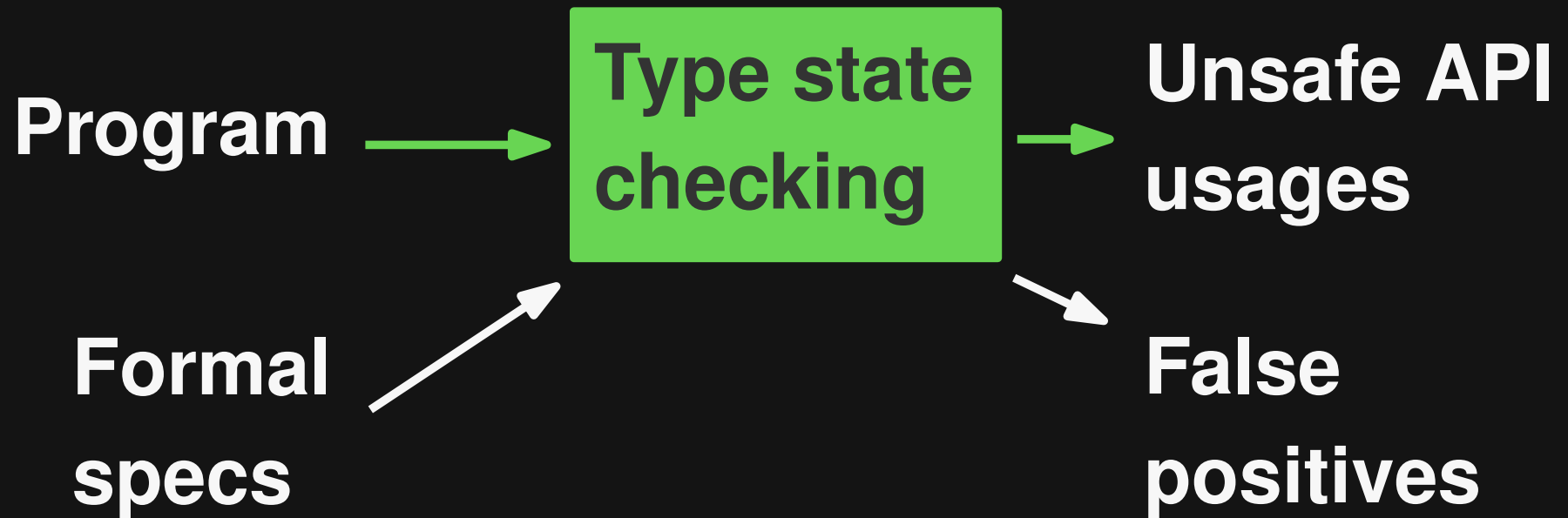
Thummalapenta + Xie '09

Monperrus et al. '10

Gabel + Su '10

# State of the Art (2)

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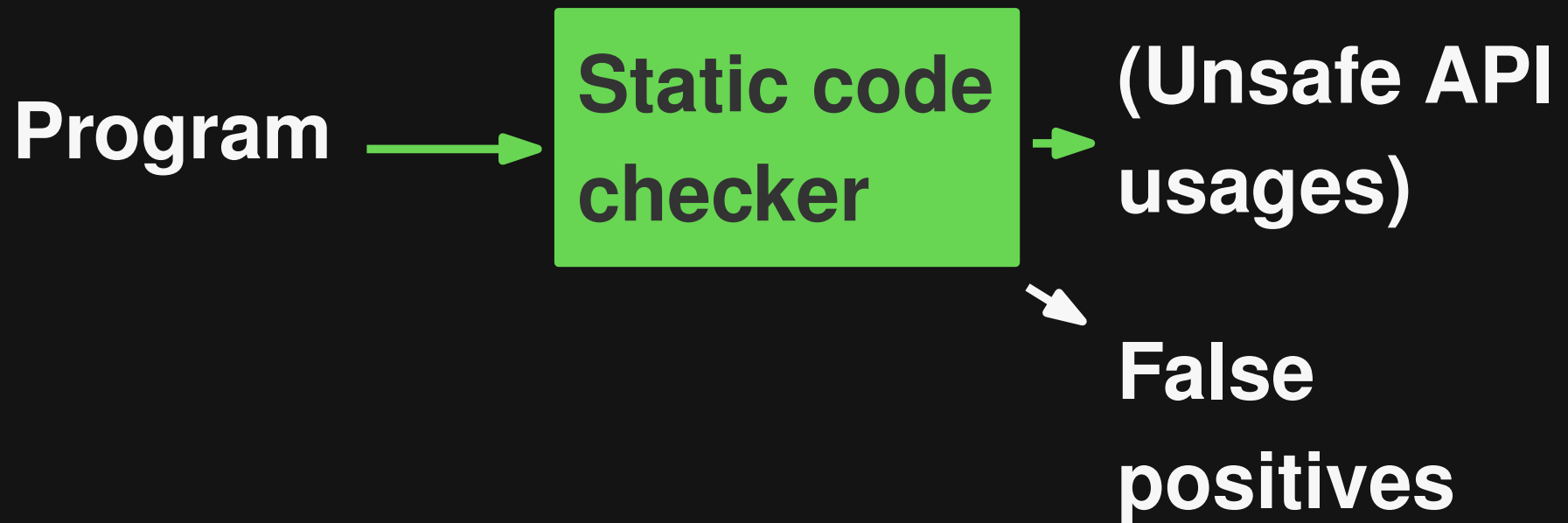


DeLine + Fähndrich '04  
Bierhoff + Aldrich '07  
Fink et al. '08  
Naeem + Lhotak '08  
Bodden '10



# State of the Art (3)

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FindBugs  
PMD

# Goal

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**Automatic and precise bug detection**

# Approach

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**Dynamic  
protocol  
mining**

**Test  
generation**

**Runtime  
protocol  
verification**

# Approach

---

- ✓ provides protocols
- ✗ requires input to run program

Dynamic  
protocol  
mining

Test  
generation

Runtime  
protocol  
verification

# Approach

---

- ✓ provides protocols
- ✗ requires input to run program

Dynamic  
protocol  
mining

Test  
generation

- ✓ finds protocol violations
- ✗ requires protocols and input

Runtime  
protocol  
verification

# Approach

---

- ✓ provides protocols
- ✗ requires input to run program

Dynamic protocol mining

Test generation

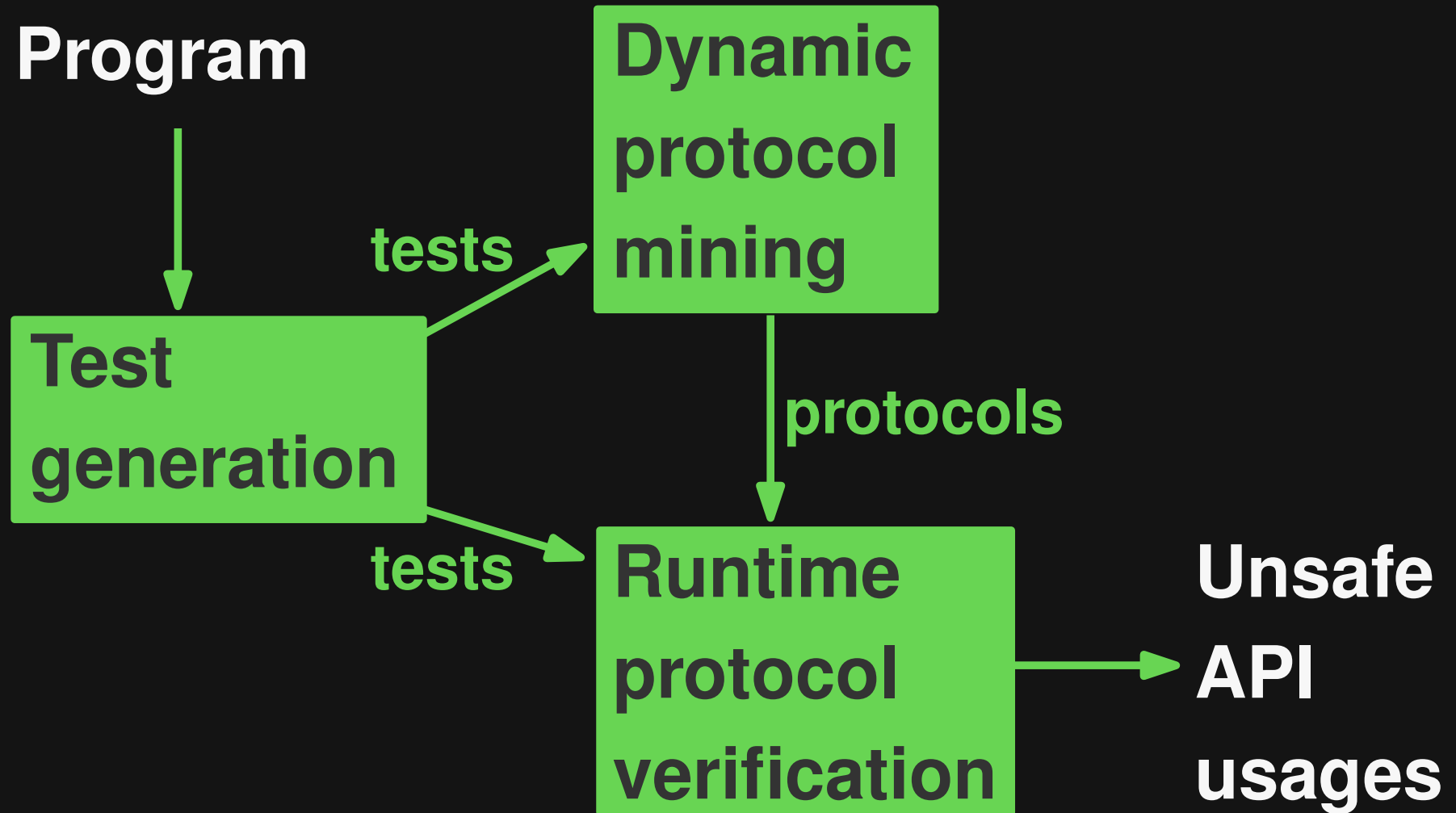
- ✓ provides input
- ✗ requires test oracle to find bugs

- ✓ finds protocol violations
- ✗ requires protocols and input

Runtime protocol verification

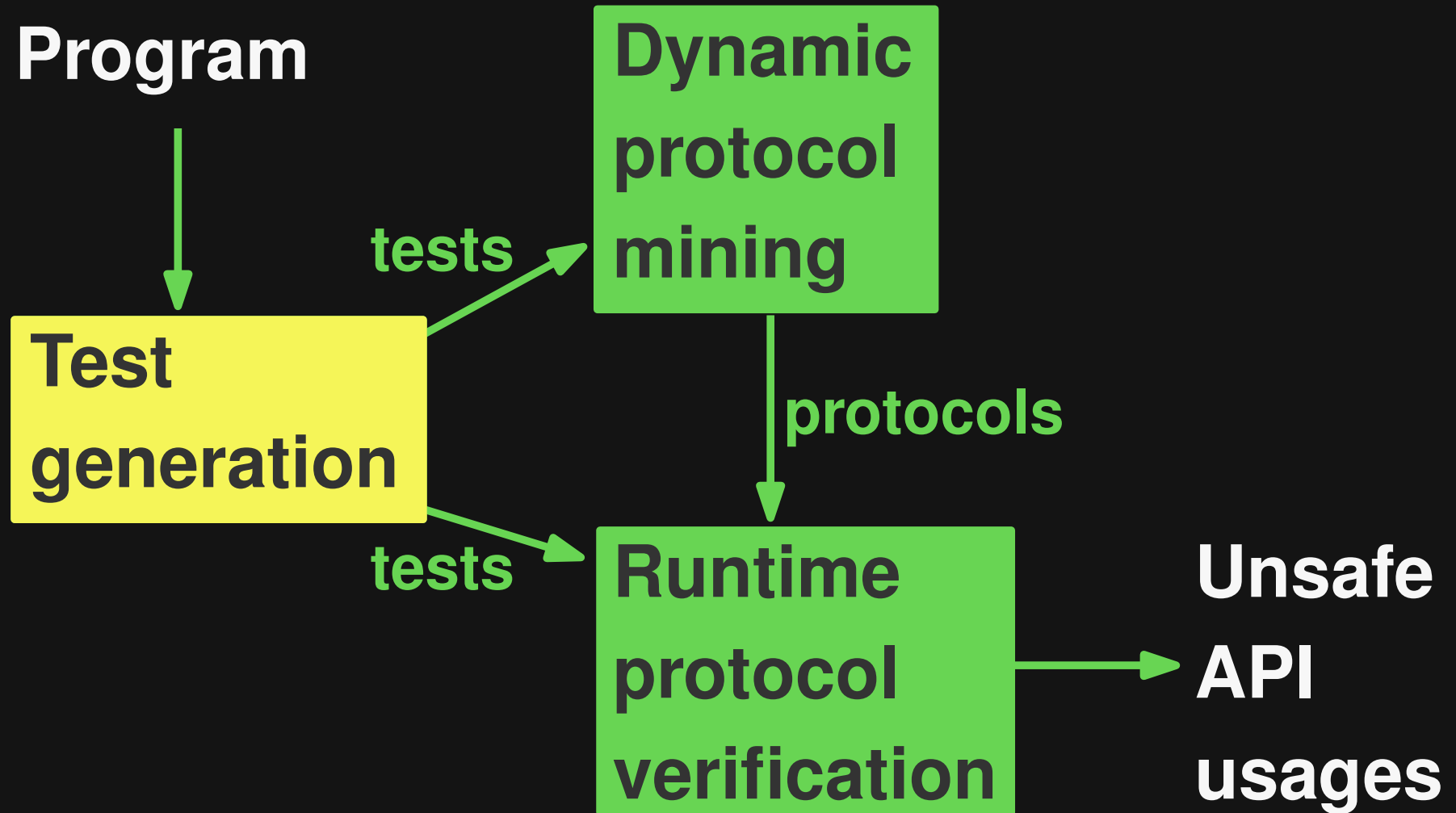
# Approach

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# Approach

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# Test Generation

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Feedback-directed, random test generation [Randoop, Pacheco2007]


Two kinds of tests:

```
class Test {  
    ...  
}
```



Failing (exception or assertion violation)

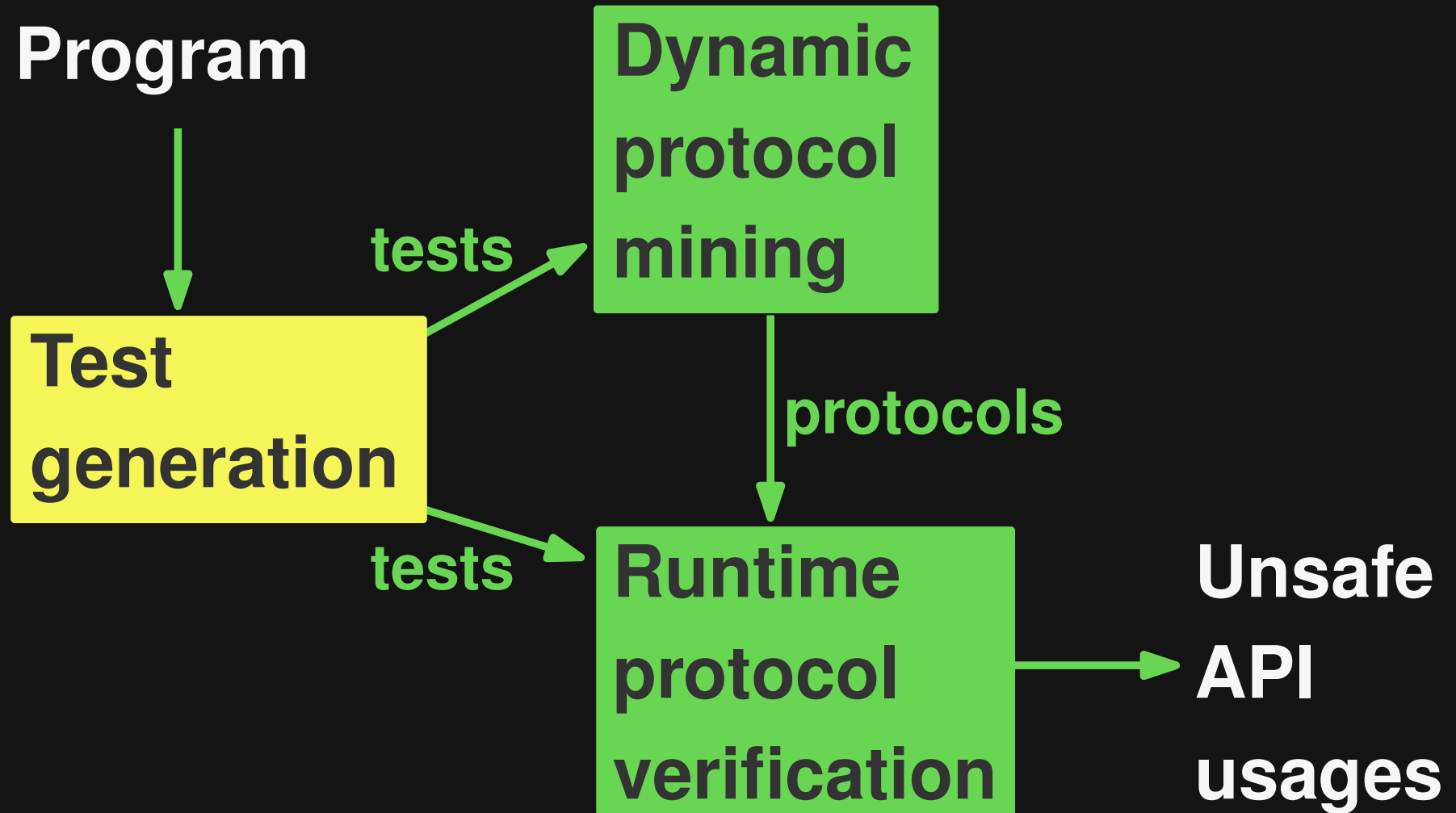
```
class Test {  
    ...  
}
```



Passing

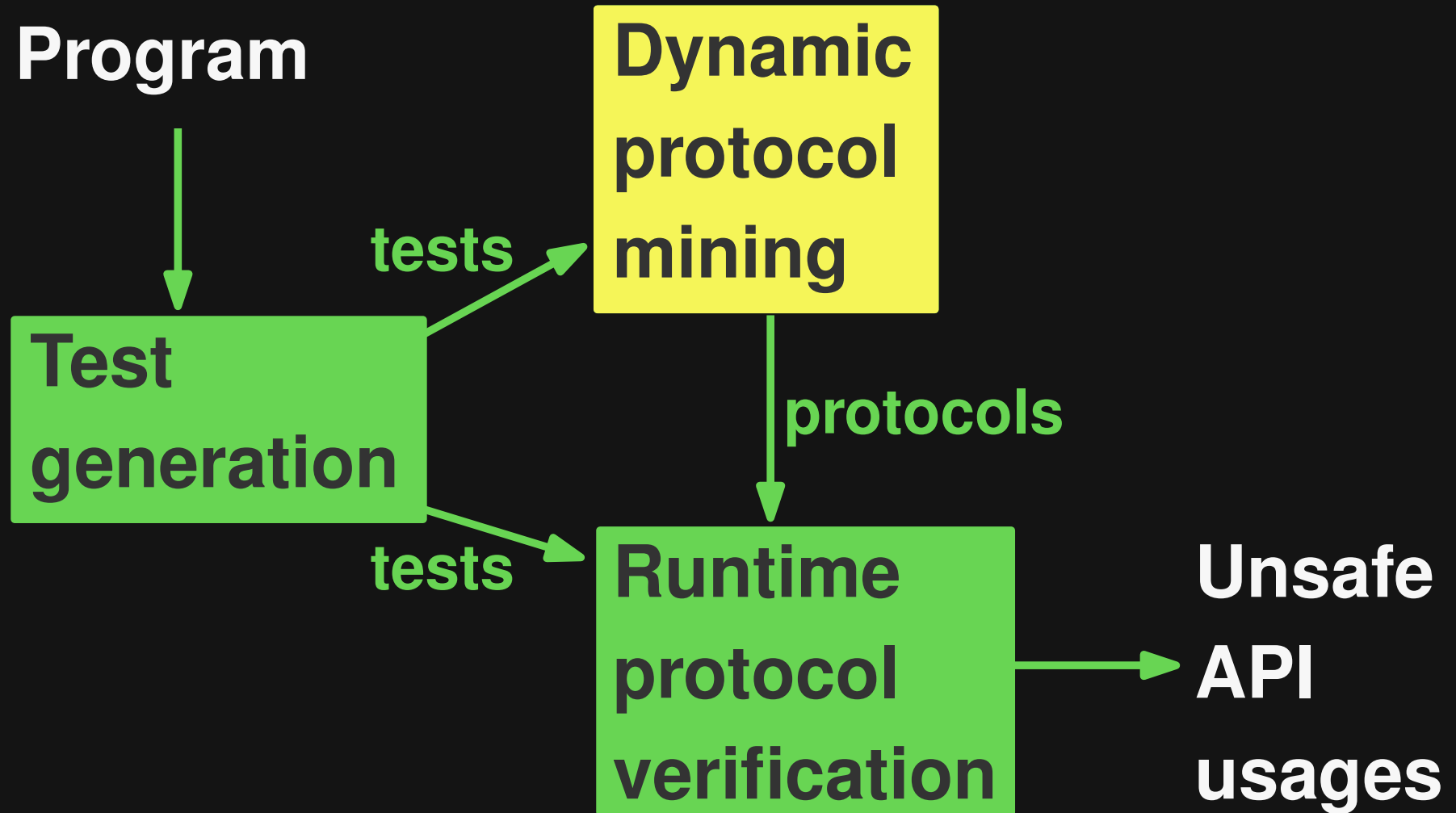
# Approach

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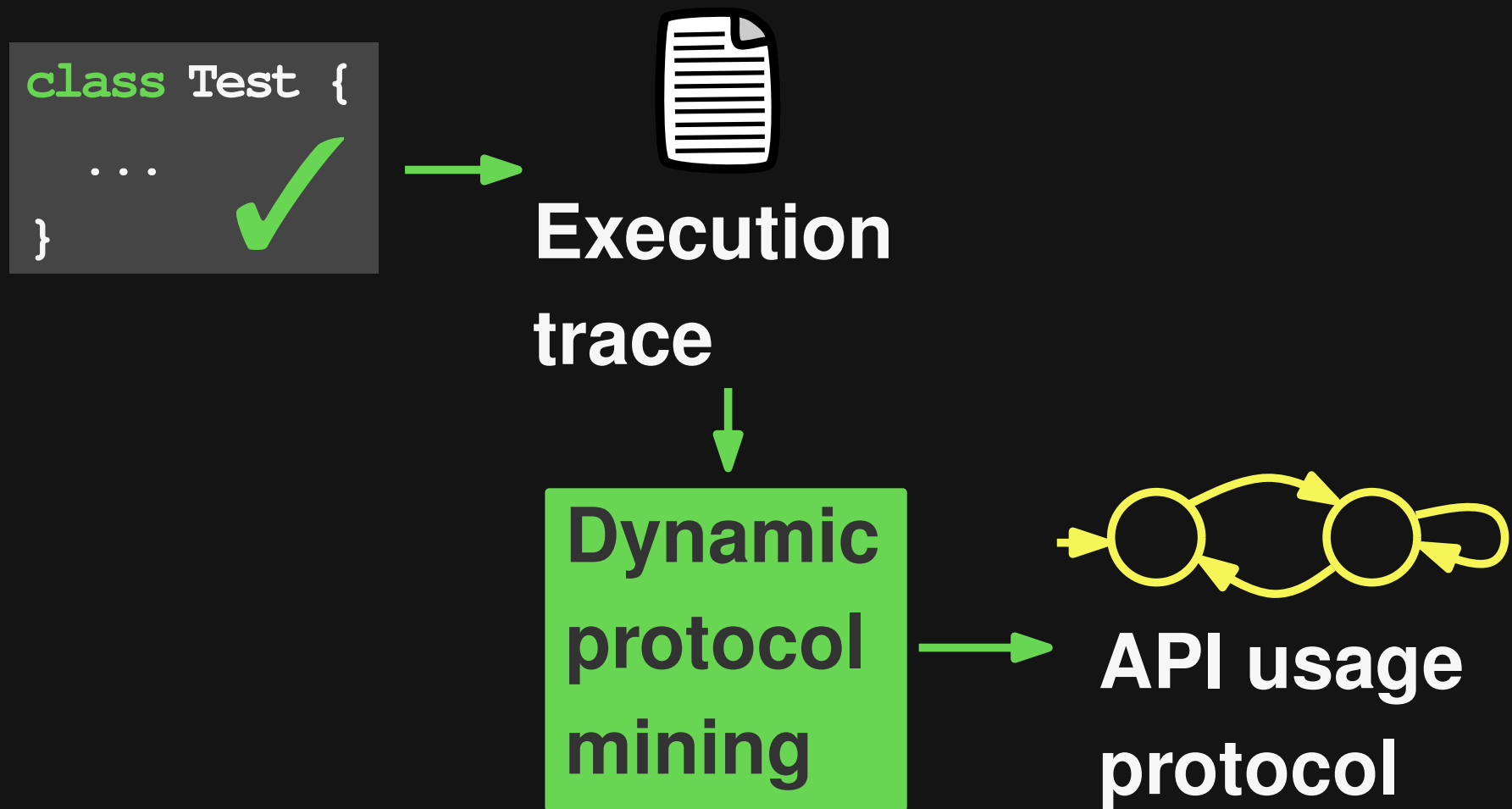
# Approach

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# Protocol Mining

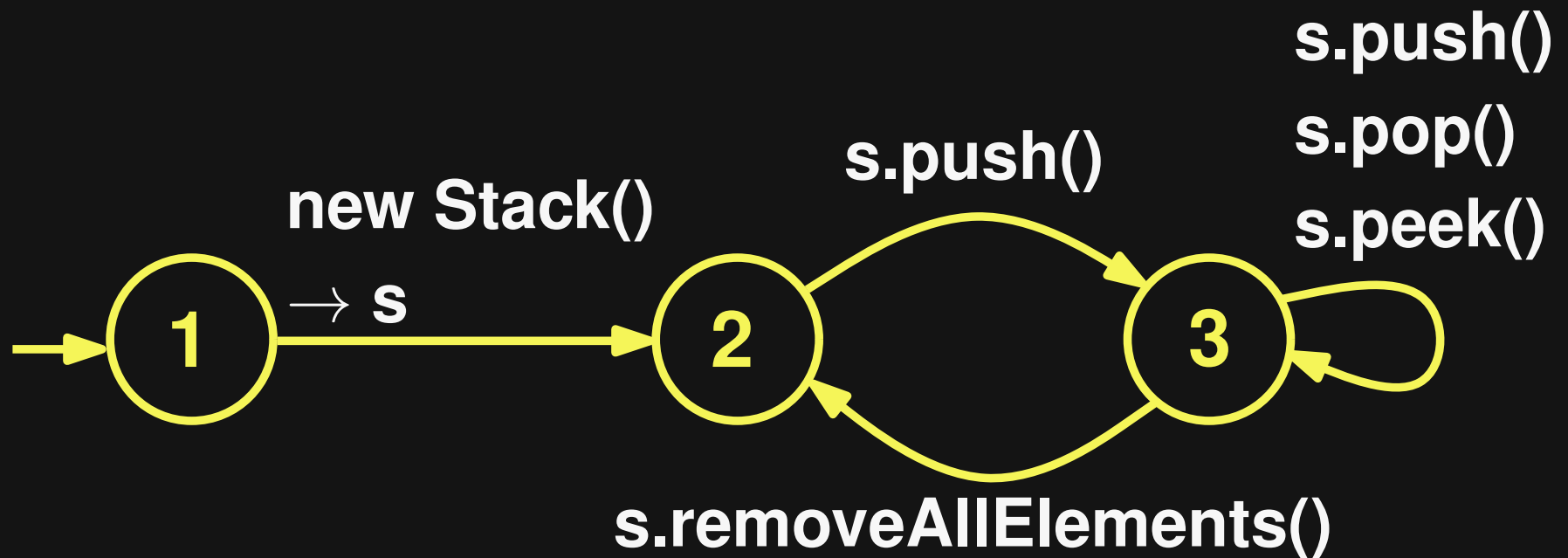
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[ASE'09 and ICSM'10, Pradel et al.]

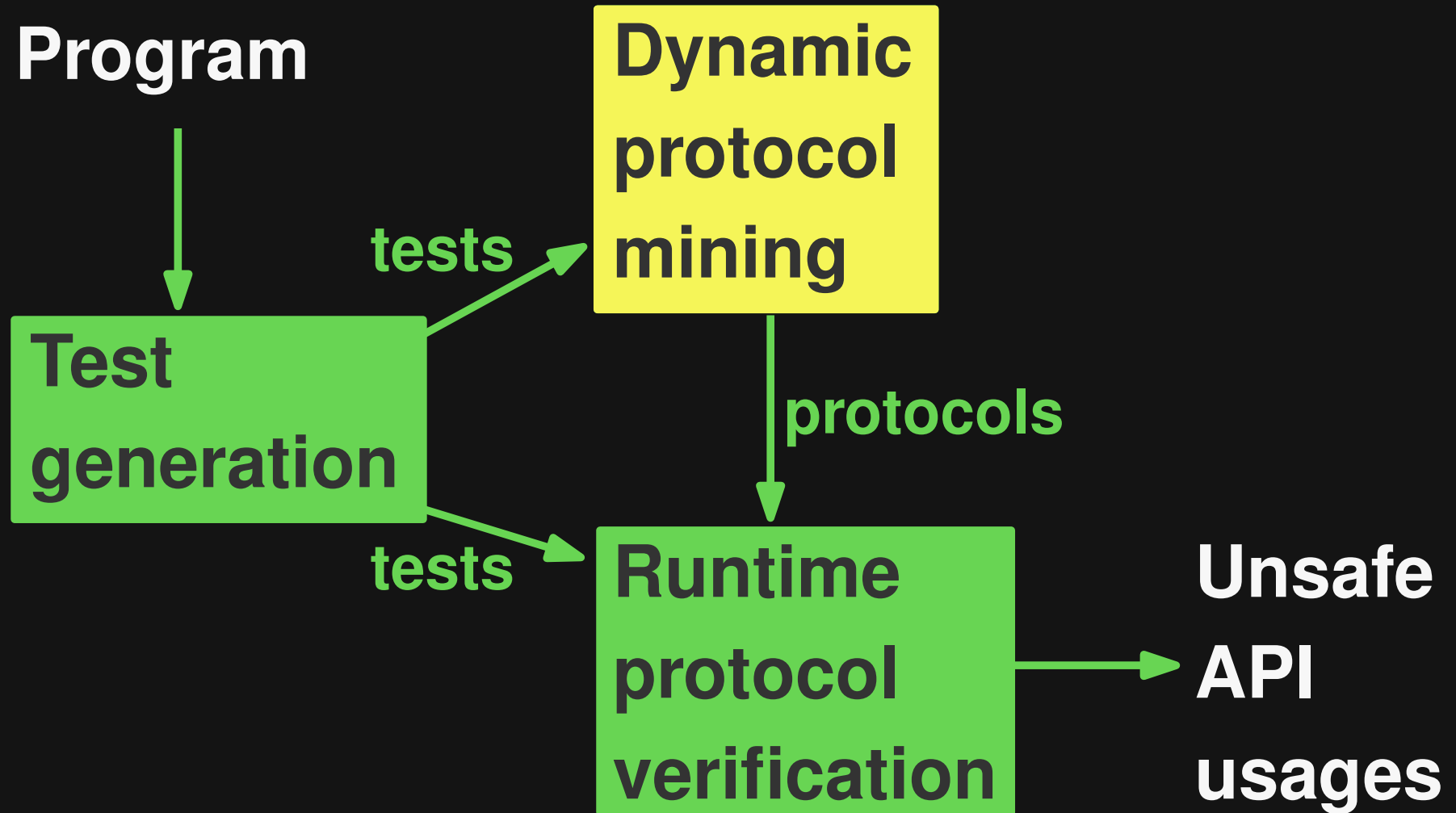
# Example

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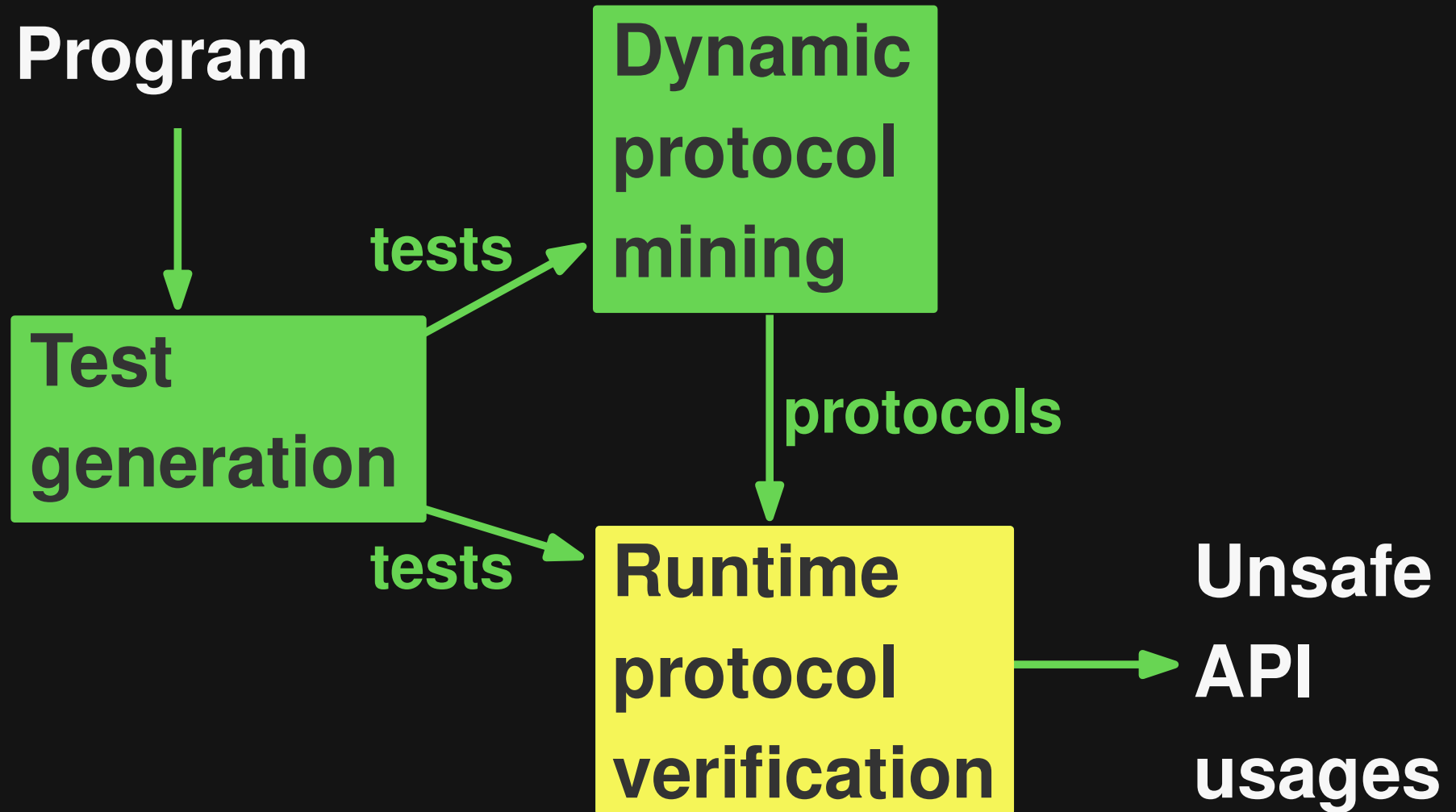
# Approach

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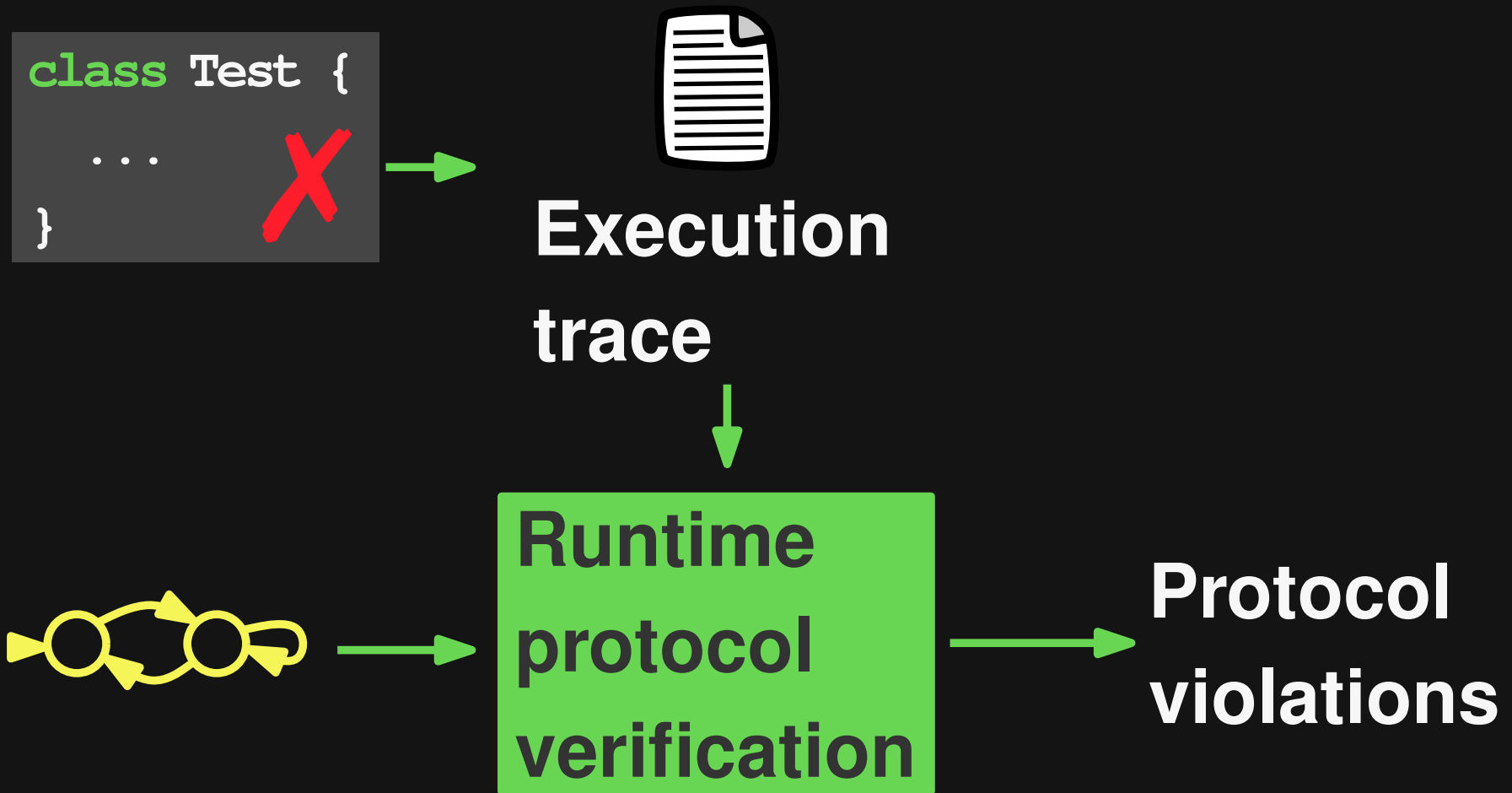
# Approach

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# Runtime Protocol Verification

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- Check all instances of protocol
- Warn if non-existing transition is taken



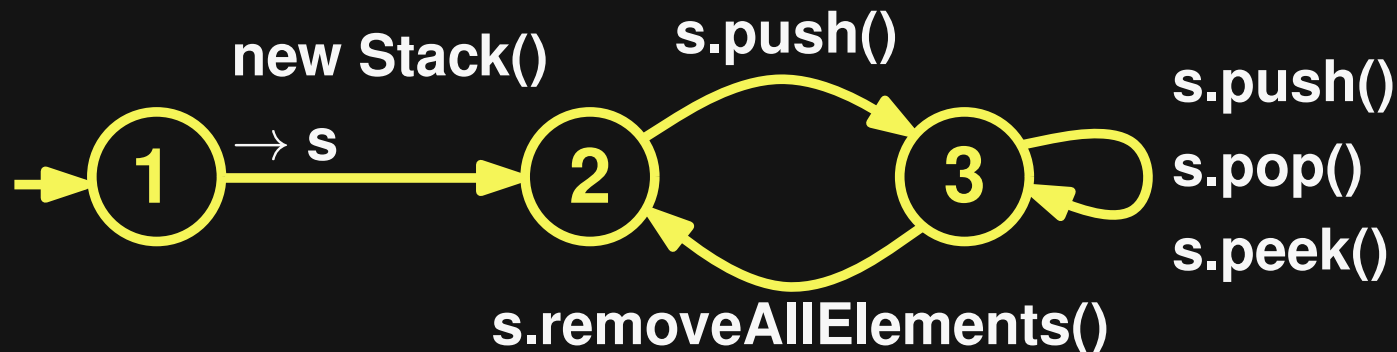
# Example

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Test:

```
X x = new X();  
x.report();
```

```
class X {  
    private Stack s = new Stack();  
    public String report() {  
        return get().toString();  
    }  
    private Object get() {  
        s.peek();  
    }  
}
```



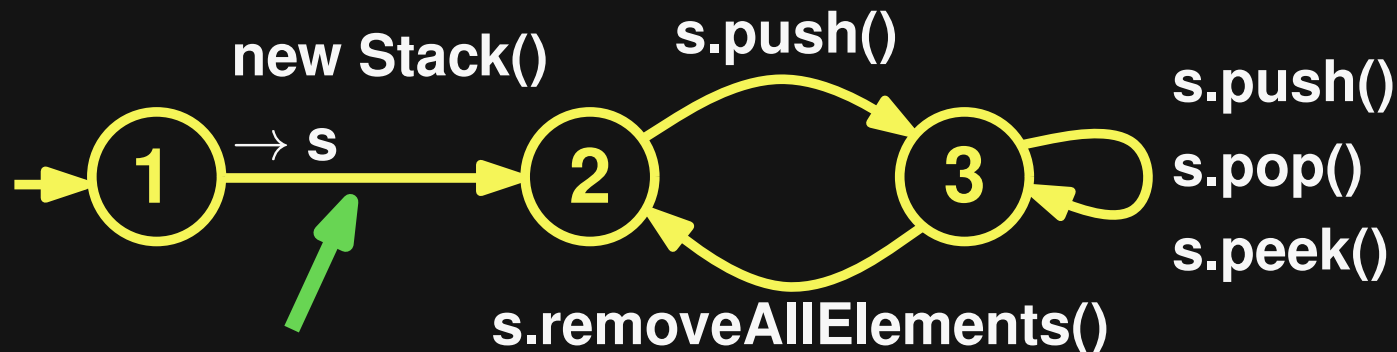
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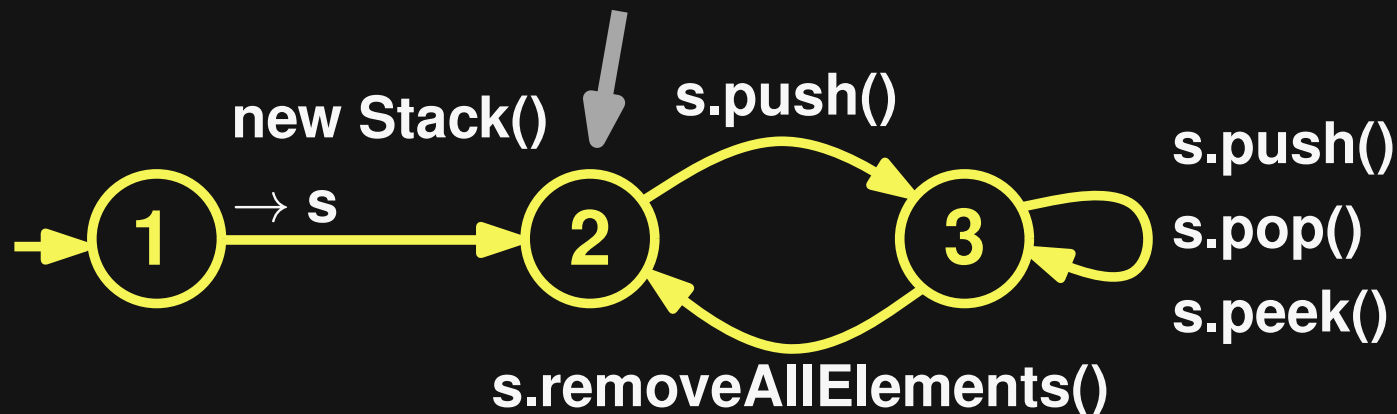


# Example

Test:

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```
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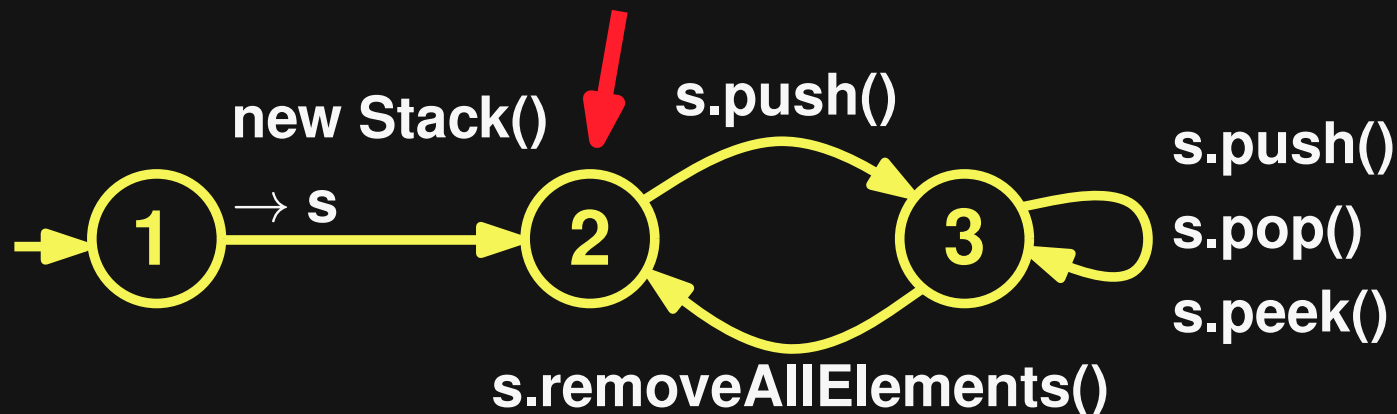
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Test:

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```

Protocol violation

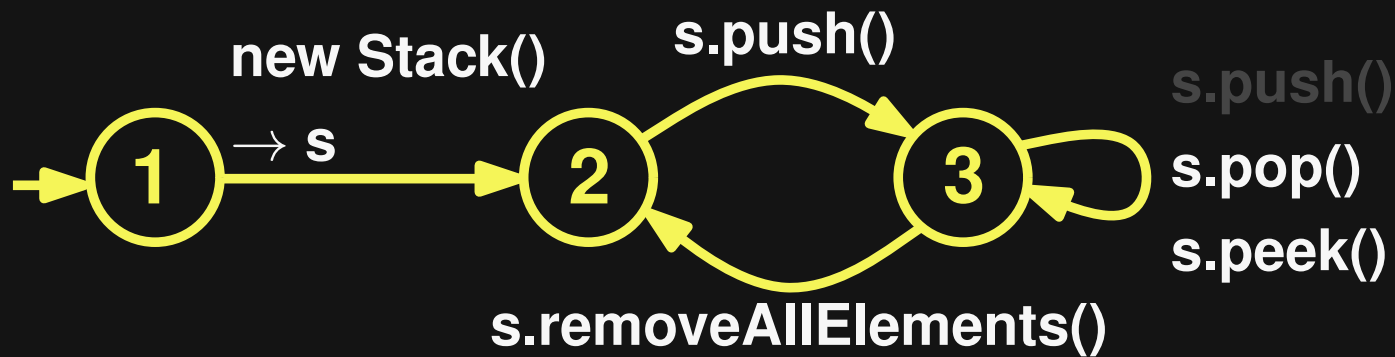


# False Positives

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Challenge:

Incomplete protocols (depend on mining)

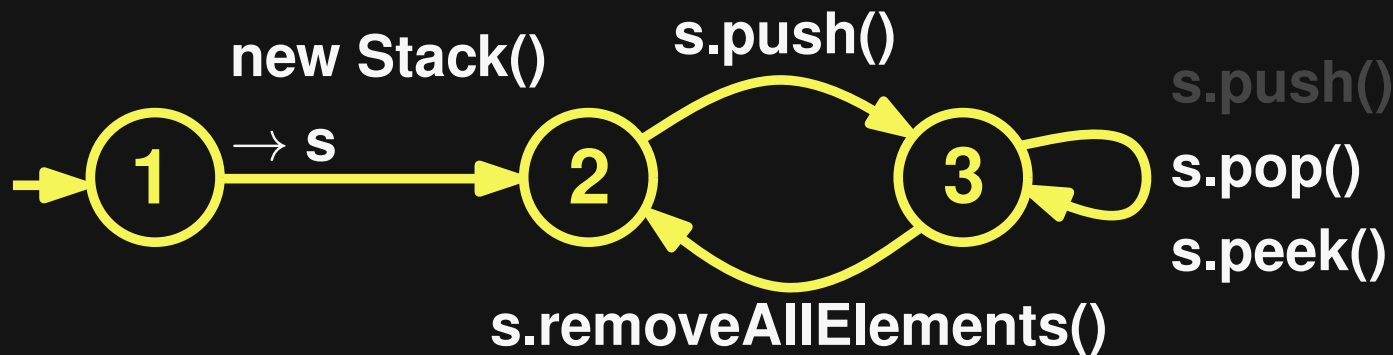


# False Positives

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Challenge:

Incomplete protocols (depend on mining)



Program:

```
Stack s = new Stack();
```

```
s.push(..);
```

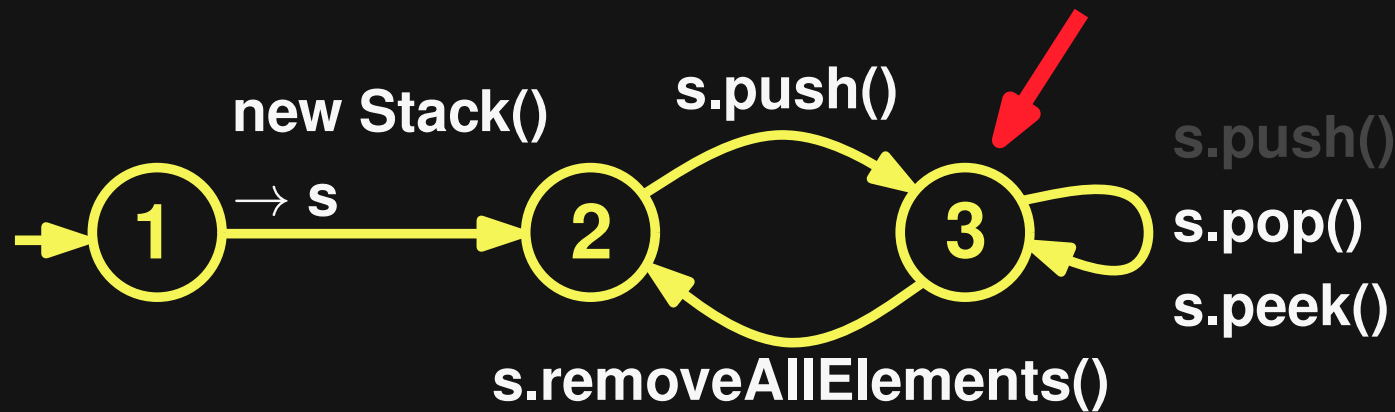
```
s.push(..);
```

# False Positives

---

Challenge:

Incomplete protocols (depend on mining)



Program:

```
Stack s = new Stack();
```

```
s.push(..);
```

```
s.push(..);
```

**False positive  
protocol violation**

# False Positives

---

Challenge:

Incomplete protocols (depend on mining)



Program:

```
Stack s = new Stack();
```

```
s.push(..);
```

```
s.push(..);
```

**False positive  
protocol violation**



# Warnings without False Positives

---

**Protocol  
violation**

**Program  
crash**

**Undeclared  
exception**

# Warnings without False Positives

---

**Protocol  
violation**

**Program  
crash**

**May be due  
to incomplete  
protocol**

**Undeclared  
exception**

# Warnings without False Positives

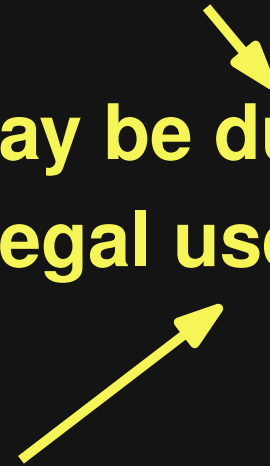
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**Protocol  
violation**

**Program  
crash**

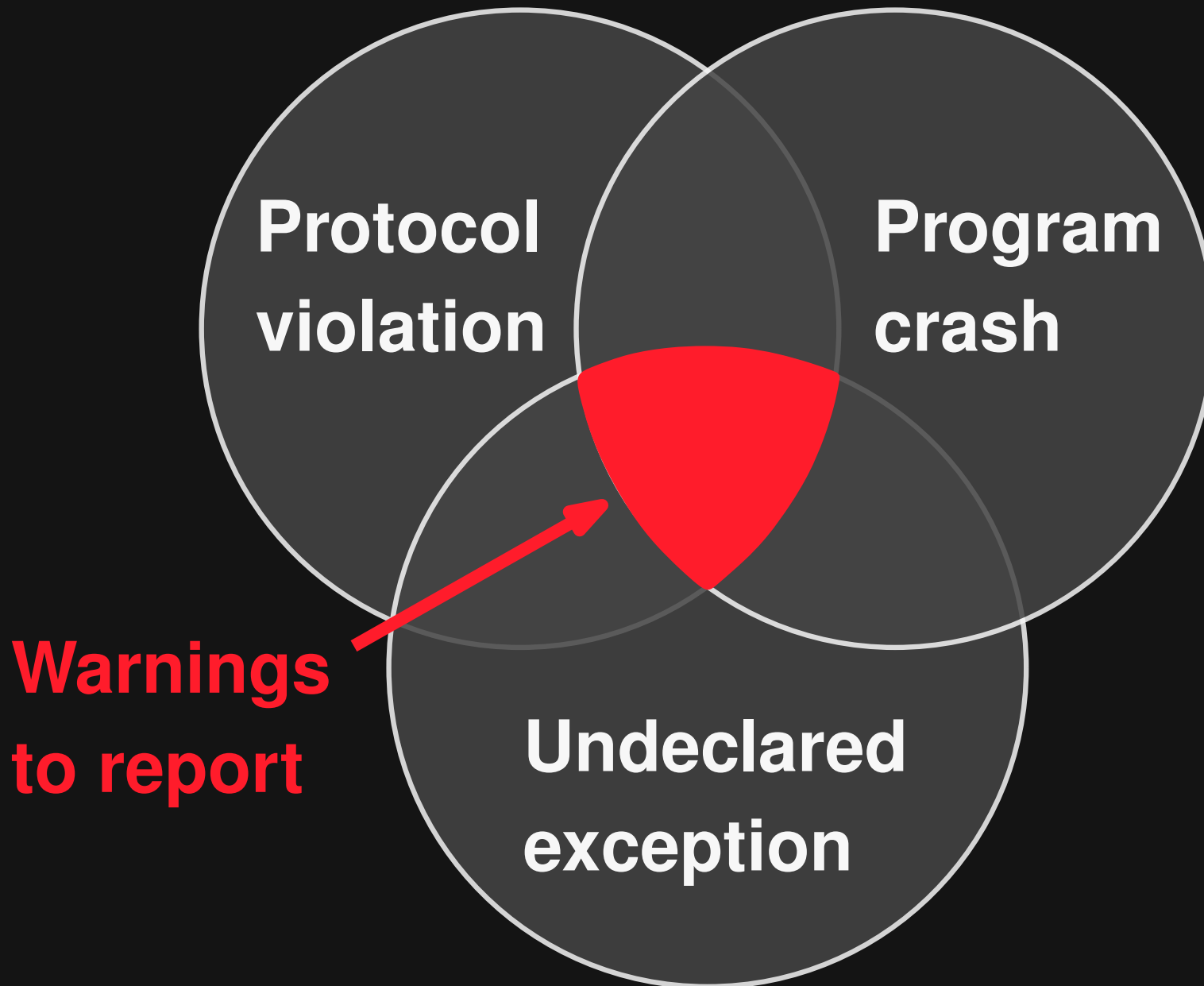
**May be due to  
illegal use of class**

**Undeclared  
exception**



# Warnings without False Positives

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# Warnings without False Positives (2)

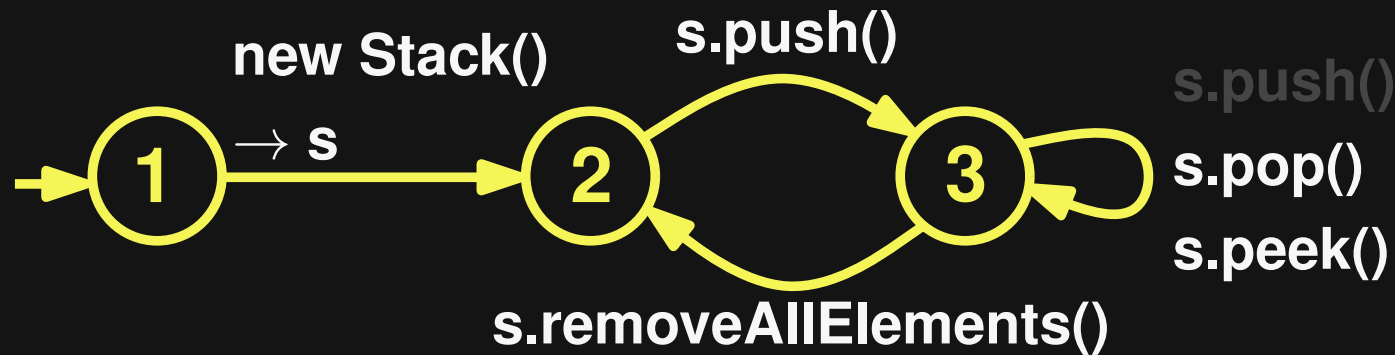
---

Only report problem if:

- protocol violated  
**and**
- protocol-violating call fails the test  
**and**
- protocol-violating method does not declare the exception

# Example (again)

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## Program:

```
Stack s = new Stack();
```

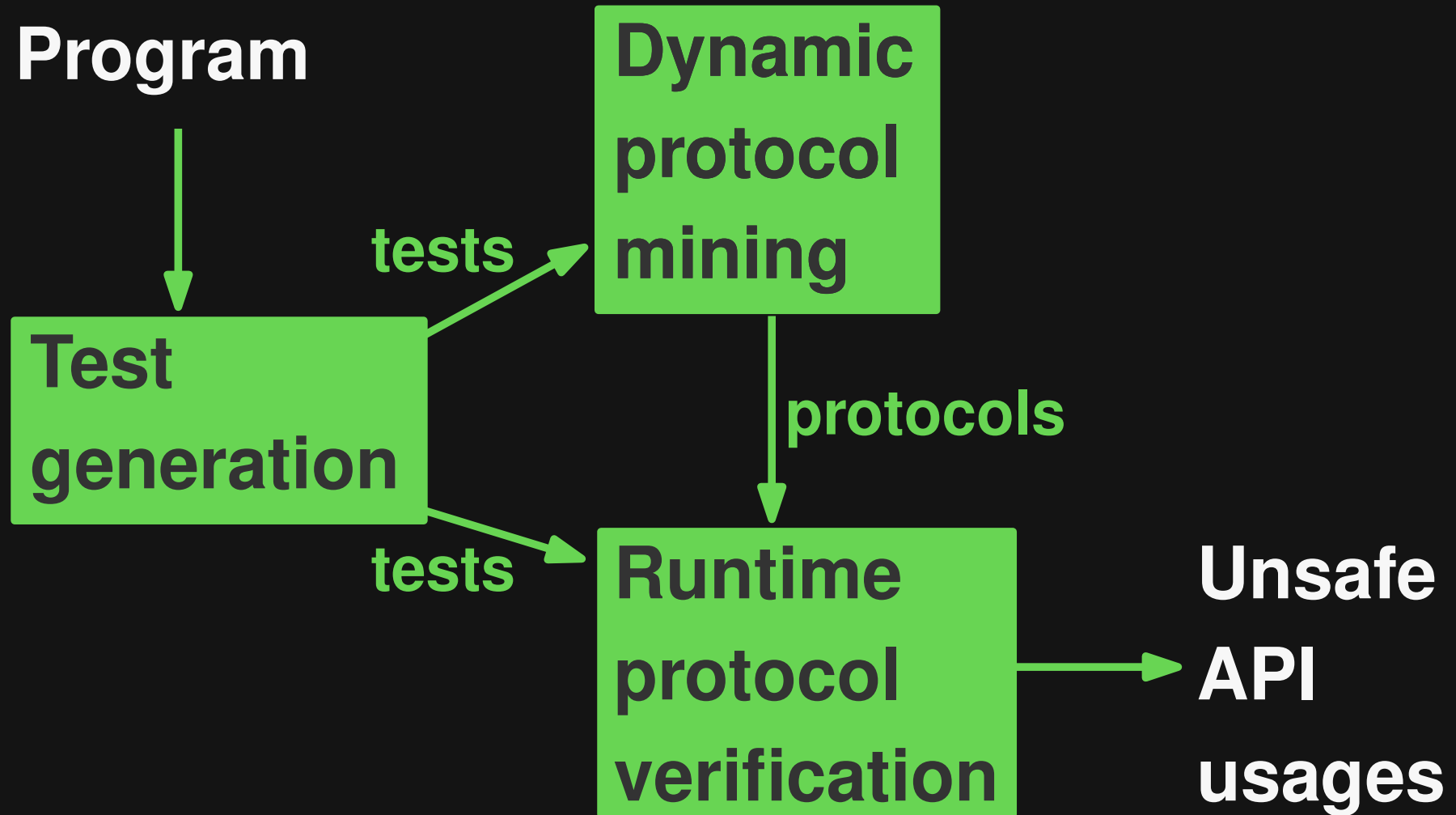
```
s.push(..);
```

```
s.push(..);
```

**No warning,  
since protocol  
violation doesn't  
raise exception**

# Approach

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# Evaluation

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**Implemented into fully automatic tool**

**Main questions:**

- 1. Effectiveness in finding unsafe API usages**
- 2. Comparison with existing work**
- 3. Performance**



# Setup

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## Programs:

- DaCapo benchmarks (5,012 classes)

## APIs:

- Collection+Iterator
  - Vector+Enumeration
- } including subclasses

## Stopping criterion:

- Generate 10,000 tests per program

# Unsafe API Usages

---

**54 unsafe API usages**

**0 false positives**

# Example from Jython

---

```
public class X {
    protected Iterator iter;
    public void _beginCanonical() {
        iter = classes.values().iterator();
    }
    public Object _next() {
        if (iter.hasNext()) return iter.next();
        else return null;
    }
    public void _flushCurrent() {
        iter.remove();
    }
}
```

# Example from Jython

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```
public class X {  
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    }  
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        iter.remove();  
    }  
}
```

✓ Safe API usage

# Example from Jython

---

```
public class X {
    protected Iterator iter;
    public void _beginCanonical() {
        iter = classes.values().iterator();
    }
    public Object _next() {
        if (iter.hasNext()) return iter.next();
        else return null;
    }
    public void _flushCurrent() {
        iter.remove();
    }
}
```

**X Protocol violation**  
**X Crash through exception**  
**X Not declared**

# Kinds of Bugs

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## Diverse kinds of unsafe API usages

- Invalid indexing of lists and vectors
- Iterators: Illegal next() and remove()
- Accessing non-existing elements: E.g., pop()

All unsafe API usages for download:

<http://mp.binaervarianz.de/icse2012-leveraging/>

# Comparison with Prior Work

---

## JDK-API usage in DaCapo:

---

OCD [Gabel+Su, ICSE'10]	Our approach
1 potential bug	54 crashing bugs
2 false positives	0 false positives

---



# Comparison with Prior Work

---

## JDK-API usage in DaCapo:

---

OCD [Gabel+Su, ICSE'10]	Our approach
1 potential bug	54 crashing bugs
2 false positives	0 false positives

---

**DaCapo input vs. generated input**

# Comparison with Prior Work

---

## JDK-API usage in DaCapo:

---

**OCD** [Gabel+Su, ICSE'10]

**Our approach**

1 potential bug

54 crashing bugs

2 false positives

0 false positives

**Avoid false positives by construction**

# Performance

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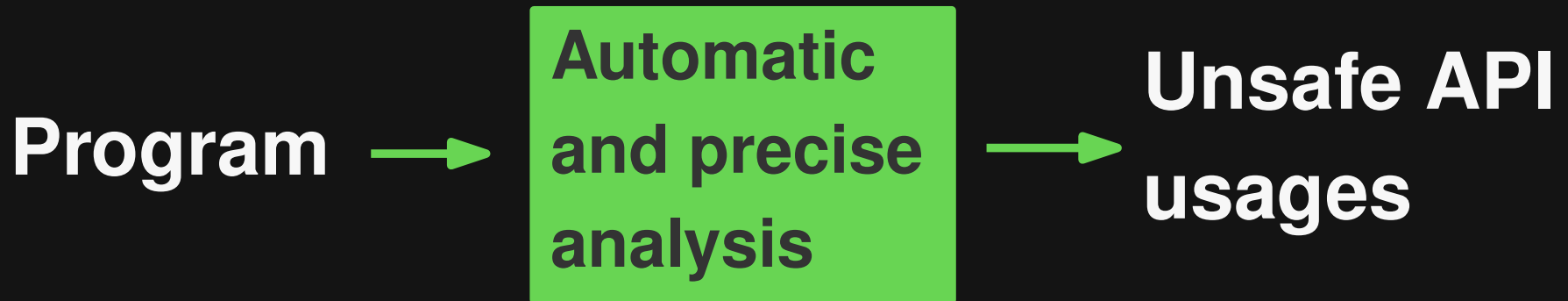
**Between less than a minute and several minutes per program-API pair**

**Optimization: Find bugs with 5x less tests**

- Static analysis: Prioritize methods
- Guide random test generator towards API-relevant parts of program

# Summary

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- **Benefits of dynamic analysis without providing input**
- **Find bugs with mined specifications without false positives**
- **Guide test generator towards API**

# Conclusion

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**Don't waste precious  
developer time**

**Lots of testing with  
little effort**



# Leveraging Test Generation and Specification Mining for Automated Bug Detection without False Positives

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**Thank you!**